



## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/767,088  
Source: OTPE  
Date Processed by STIC: 2-6-2001

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER  
VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND  
TRADEMARK OFFICE WEBSITE. SEE BELOW:

### Checker Version 3.0

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 - 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address:

<http://www.uspto.gov/web/offices/pac/checker>

# Raw Sequence Listing Error Summary

## ERROR DETECTED SUGGESTED CORRECTION

SERIAL NUMBER: 09/767,088

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 ☐ Wrapped Nucleics The number/text at the end of each line "wrapped" down to the next line.  
This may occur if your file was retrieved in a word processor after creating it.  
Please adjust your right margin to .3, as this will prevent "wrapping".
- 2 ☐ Wrapped Aminos The amino acid number/text at the end of each line "wrapped" down to the next line.  
This may occur if your file was retrieved in a word processor after creating it.  
Please adjust your right margin to .3, as this will prevent "wrapping".
- 3 ☐ Incorrect Line Length The rules require that a line not exceed 72 characters in length. This includes spaces.
- 4 ☐ Misaligned Amino Acid Numbering The numbering under each 5th amino acid is misaligned. This may be caused by the use of tabs between the numbering. It is recommended to delete any tabs and use spacing between the numbers.
- 5 ☐ Non-ASCII This file was not saved in ASCII (DOS) text, as required by the Sequence Rules.  
Please ensure your subsequent submission is saved in ASCII text so that it can be processed.
- 6 ☐ Variable Length Sequence(s) ☐ contain n's or Xaa's which represented more than one residue.  
As per the rules, each n or Xaa can only represent a single residue.  
Please present the maximum number of each residue having variable length and indicate in the (ix) feature section that some may be missing.
- 7 ☐ PatentIn ver. 2.0 "bug" A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequence(s) ☐. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence.
- 8 ☐ Skipped Sequences (OLD RULES) Sequence(s) ☐ missing. If intentional, please use the following format for each skipped sequence:  
(2) INFORMATION FOR SEQ ID NO:X:  
(i) SEQUENCE CHARACTERISTICS:(Do not insert any headings under "SEQUENCE CHARACTERISTICS")  
(xi) SEQUENCE DESCRIPTION:SEQ ID NO:X:  
This sequence is intentionally skipped  
  
Please also adjust the "(iii) NUMBER OF SEQUENCES:" response to include the skipped sequence(s).
- 9 ☐ Skipped Sequences (NEW RULES) Sequence(s) ☐ missing. If intentional, please use the following format for each skipped sequence.  
<210> sequence id number  
<400> sequence id number  
000
- 10 ☐ Use of n's or Xaa's (NEW RULES) Use of n's and/or Xaa's have been detected in the Sequence Listing.  
Use of <220> to <223> is MANDATORY if n's or Xaa's are present.  
In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
- 11 ☐ Use of <213>Organism (NEW RULES) Sequence(s) ☐ are missing this mandatory field or its response.
- 12 ☒ Use of <220>Feature (NEW RULES) Sequence(s) ☐ are missing the <220>Feature and associated headings.  
Use of <220> to <223> is MANDATORY if <213>ORGANISM is "Artificial" or "Unknown"  
Please explain source of genetic material in <220> to <223> section.  
(See "Federal Register," 6/01/98, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of new Rules)
- 13 ☐ PatentIn ver. 2.0 "bug" Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing).  
Instead, please use "File Manager" or any other means to copy file to floppy disk.  
AKS-Biotechnology Systems Branch- 5/15/99

OIEP

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/767,088

DATE: 02/06/2001

TIME: 15:36:58

Input Set : A:\0303.ST25.txt

Output Set: N:\CRF3\02062001\I767088.raw

3 <110> APPLICANT: Gurney, Mark E.  
4 Abraham, Irene  
6 <120> TITLE OF INVENTION: Transgenic Mouse Model Of Human Neurodegenerative Disease  
8 <130> FILE REFERENCE: PHRM0303  
C--> 10 <140> CURRENT APPLICATION NUMBER: US/09/767,088  
C--> 10 <141> CURRENT FILING DATE: 2001-01-22  
10 <150> PRIOR APPLICATION NUMBER: 60/177,319  
11 <151> PRIOR FILING DATE: 2000-01-21  
13 <160> NUMBER OF SEQ ID NOS: 15  
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**Does Not Comply  
Corrected Diskette Needed**

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Input Set : A:\0303.ST25.txt

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310	cattgcctgg	ggttcccttt	gtctattcct	tttttaaaag	actagtgttt	attttatgtg	7260
312	catgagtgtt	ttgcatccac	attgcctcgt	atacacacct	ggttctgttg	aggtcaggag	7320
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316	gagctcagaa	ccaaatccag	gtcctctgga	agagcaacca	gagctcttaa	aacttctaag	7440
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320	attttattca	gagatttaact	gtctgtgtaa	aacttgacat	tgaaagtga	ctattctggt	7560
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334	acctaaaggt	gtaaaagcca	agcagtttaag	aaactgacaa	gctcatgatg	gaagccgagg	7980
336	ccatcgtgaa	cactcttcat	tttaggcccc	acgtatcact	ggggacaact	gagagtcaaa	8040
338	gtacaggtaa	ggagaccaag	gcttttcagg	actcaggctg	tctcagtga	aagcccagaa	8100
340	gagcagtaat	tgaaagagct	cagacgatgt	gtctgatctc	ctctgtttgt	ttgttgcgtg	8160
342	attattttcca	ctaacttatt	tgggaggaaa	aaaaacagtt	cacaggcttc	ttttcttgaa	8220
344	atactgggga	ttgctgggat	cgaacccagg	gataggtttt	tagtttctaa	aataacatag	8280
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356	cgaagtgatg	gaagatcacg	ctgggacgta	cgggttgggg	gacaggaaaag	atcagggggg	8640
358	ctacaccatg	caccaagacc	aagagggtga	cacggacgct	ggcctgaaaag	ctgaagaagc	8700
360	aggcattgga	gacaccccca	gcctggaaga	cgaagctgct	ggtcacgtga	cccaagctcg	8760
362	catggtcagt	aaaagcaaa	acgggactgg	aagcgatgac	aaaaaagcca	agggggctga	8820
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374	ccccgtgccc	atgccagacc	tgaagaatgt	caagtcacag	atcggtccca	ctgagaacct	9180
376	gaagcaccag	ccgggagggc	ggaaggtgca	gataattaat	aagaagctgg	atcttagcaa	9240

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/767,088

DATE: 02/06/2001  
TIME: 15:36:58

Input Set : A:\0303.ST25.txt

Output Set: N:\CRF3\02062001\I767088.raw

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380 gcaaatagtc tacaaccag ttgacctgag caaggtgacc tccaagtgtg gctcattagg 9360
382 caacatccat cataaaccag gaggtggcca ggtggaagta aaatctgaga agcttgactt 9420
384 caaggacaga gtccagtcga agattgggtc cctggacaat atcacccacg tccctggcgg 9480
386 aggaataaaa aagattgaaa cccacaagct gaccttccgc gagaacgcca aagccaagac 9540
388 agaccacggg gcggagatcg tgtacaagtc gccagtggtg tctggggaca cgtctccacg 9600
390 gcattctcagc aatgtctcct ccaccggcag catcgacatg gtagactcgc cccagctcgc 9660
392 cacgctagct gacgaggtgt ctgcctccct ggccaagcag ggtttgtgat caggcccctg 9720
394 gggcggtcaa taattgtgga gaggagagaa tgagagagtg tggaaaaaaa aagaataatg 9780
396 acccgcccc cgcctctcgc cccagctgc tctcgcagt tcgggaattc ggatccagat 9840
398 cttattaaag cagaacttgt ttattgcagc ttataatggt tacaataaaa gcaatagcat 9900
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406 <211> LENGTH: 25
407 <212> TYPE: DNA
408 <213> ORGANISM: Artificial
410 <220> FEATURE:
411 <223> OTHER INFORMATION: Primer
413 <400> SEQUENCE: 3
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417 <210> SEQ ID NO: 4
418 <211> LENGTH: 23
419 <212> TYPE: DNA
420 <213> ORGANISM: Artificial
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426 tgtcaccctc ttggtcttgg tgc 23
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430 <211> LENGTH: 22
431 <212> TYPE: DNA
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434 <220> FEATURE:
435 <223> OTHER INFORMATION: Primer
437 <400> SEQUENCE: 5
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441 <210> SEQ ID NO: 6
442 <211> LENGTH: 23
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*must be "Artificial Sequence"  
see item 12 on Error Summary Sheet*

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/767,088

DATE: 02/06/2001

TIME: 15:36:59

Input Set : A:\0303.ST25.txt

Output Set: N:\CRF3\02062001\I767088.raw

L:10 M:270 C: Current Application Number differs, Replaced Current Application No

L:10 M:271 C: Current Filing Date differs, Replaced Current Filing Date